

PATENT

Attorney Docket No. MTI-31041

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Ping, et al.

Serial No. : 09/816,962

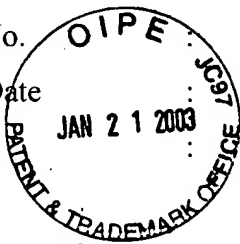
Filing Date : March 23, 2001

For : Method For Forming Raised Structures by Controlled Selective Epitaxial Growth of Facet Using Spacer

Group Art Unit : 2812

Examiner : A. Roman

Confirmation No. : 8677



#11
1-30-03
JPR

CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10

I hereby certify that, on the date shown below, this correspondence is being:

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37 CFR 1.8(a)

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Assistant Commissioner for Patents
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, the Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103.

All references listed on the enclosed Form PTO 1449 were previously listed on Form PTO-892 of USSN 10/046,497, which is a division of the present application. Copies of these documents are not provided because these documents were cited by the Examiner and available in the divisional application. However, if these copies are not available to the Examiner, Applicant will provide a copy upon request.

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This Supplemental Information Disclosure Statement is being filed before the mailing of a notice of allowance under §1.113. Enclosed is a check to cover the fee set forth in § 1.17(p) (\$180.00) due for consideration of the listed items. Please charge any additional fee that is necessary to Account No. 23-2053.

Return of the enclosed Form 1449 in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,



Dated: January 20, 2003

Kristine M. Strodthoff, Reg. No. 34,259

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PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

ATTY. DOCKET NO.

MTI-31041

Applicant

Ping, et al.

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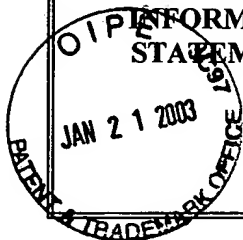
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Group Art Unit

2812

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U.S. PATENT DOCUMENTS

		Patent Number	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
AR	A1	US-5,902,125	05-1999	Wu, Shye-Lin	438	300	
	A2	US-5,963,822	10-1999	Saihara et al.	438	481	
	A3	US-5,970,351	10-1999	Takeuchi, Kiyoshi	438	300	
	A4	US-6,300,251	10-2001	Pradeep et al	438	710	
	A5	US-6,127,232	10-2000	Chatterjee et al	438	291	
	A6	US-5,843,826	12-1998	Hong, Gary	438	300	
	A7	US-4,948,745	08-1990	Pfiester et al.	438	290	
	A8	US-5,691,212	11-1997	Tsai et al	438	297	
	A9	US-5,953,605	09-1999	Kodama, Noriyuki	438	231	
	A10	US-6,248,637	06-2001	Yu, Bin	438	300	
	A11	US-5,949,105	09-1999	Moslehi, Mehrdad M.	257	336	
AR	A12	US-5,241,193	08-1993	Pfiester et al	257	67	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial		Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)
AR	B1	JP 02001068671	03-2001	Japan	H01L029/78		

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial		Non-Patent Document
	C1	

Examiner Initials	AR	Date Considered	03/31/03
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			